

MAIL STOP PCT *IFW*

Docket No. 286166US0PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Rosario LIZIO, et al.

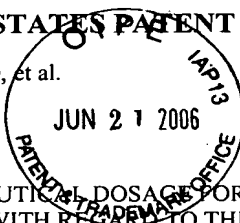
SERIAL NO: 10/572,963

FILED: March 21, 2006

FOR: MULTILAYER PHARMACEUTICAL DOSAGE FORM CONTAINING A SUBSTANCE THAT ACTS IN A MODULATORY MANNER WITH REGARD TO THE RELEASE OF ACTIVE SUBSTANCES

GAU:

EXAMINER:



INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 286166US0PCT		SERIAL NO. 10/572,963	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Rosario LIZIO, et al.			
				FILING DATE March 21, 2006		GROUP	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6 322 819	11/27/2001	BURNSIDE, Beth A. et al			
	AB	5 508 040	4/16/1996	CHEN, Chih-Ming et al.			
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AJ	199 56 486	6/21/2001	DE			NO
	AK	1 213 015	6/12/2002	EP			NO
	AL	0 463 877	1/2/1992	EP			NO
	AM	0 225 085	6/10/1987	EP			NO
	AN	0 122 077	10/17/1984	EP			NO
	AO	0 123 470	10/31/1984	EP			NO
	AP	0 436 370	7/10/1991	EP			NO
	AQ	00/19984	4/13/2000	WO(with English abstract)			NO
	AR	00/74655	12/14/2000	WO			NO
	AS	1 064 938	1/3/2001	EP			NO
	AT	01/13895	3/1/2001	WO			NO
	AU	01/37815	5/31/2001	WO			NO
	AV	01/58433	8/16/2001	WO			NO
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW						
	AX						
	AY						
	AZ					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

U.S. PCT Application Serial No: 10/572,963

Filed: March 21, 2006

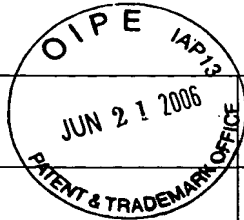
Rosario LIZIO, et al.

Docket No. 286166 US



STATEMENT OF RELEVANCY

- 1) References AA, AJ & AK have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AB, AL - AV are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.



Docket No.: 286166US0PCT

Serial No.: 10/572,963

Inventor: Rosario LIZIO, et al.

LIST OF RELATED CASES CITED BY
APPLICANT UNDER 37 CFR 1.56

Filing Date: March 21, 2006

Group:

LIST OF RELATED CASES

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	286166US0PCT*	10/572,963	03/21/06		LIZIO, et al.
	282276US0PCT	10/564,096	01/11/06		LIZIO, et al.
	286088US0PCT	10/573,019	03/22/06		LIZIO, et al.

Examiner

Date Considered

*Present Application; listed for information
NFO/kch